

A35109 PCT USA - 067515.0161

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Bong-Cheol Kim

Serial No.

10/089,479

Examiner: Nhu, David

Filed

March 28, 2002

Group Art Unit: 2812

For

APPARATUS AND METHOD FOR FORMING SINGLE CRYSTALLINE NITRIDE SUBSTRATE USING HYDRIDE

VAPOR PHASE EPITAXY AND LASER BEAM

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Alexandria, VA 22313-01450

May 9, 2003

Date of Deposit

James J.Maune

Attorney Name

26,946

PTO Reg. No.

Signatura

May 9, 2003

Date of Signature

RESPONSE

Commissioner for Patents

Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed April 11, 2003.

The Examiner has required election of one of the following inventions:

- I. Claims 13 to 16, drawn to an apparatus or
- II. Claims 17 to 24, drawn to a method.

Applicant hereby elects Group II - Claims 17-24.

NY02:440686.1

TECHNOLOGY CENTER 2800

A35109 PCT USA - 067515.0161

PATENT

Applicant respectfully traverses the restriction requirement on the grounds that the Examiner has applied an incorrect standard. Since this application is a National Phase of a Patent Cooperation Treaty Application, the proper standard is the "Unity of Invention" standard as set forth in 37 CFR 1.475.

In view of the above, an action on the merits for all pending claims is hereby respectfully requested.

It is not believed that any fee is necessary for this communication. If a fee is required, the Commissioner is authorized to charge payment of such fee to Deposit Account No. 02-4377

Respectfully submitted,

ames J. Maun

Patent Office Reg. No. 26,946

Attorney for Applicant (212) 408-2566

Baker Botts L.L.P. 30 Rockefeller Plaza New York NY 10112



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/089,479				
Filing Date	March28, 2002				
First Named Inventor	Bong-Cheol Kim				
Group Art Unit	2812				
Examiner Name	Nhu, David				
Attorney Docket Number	A35109 PCT USA				

ENCLOSURES (check all that apply)							
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/de Extension of Time F Express Abandonm Information Disclose Certified Copy of Pr Document(s) Response to Missin Incomplete Applicat	claration(s) Request ment Request ure Statement iority ng Parts/ ion	Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Licensing-related Papers Petition Petition Petition to Convert to a Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group (Appeals and Interferences) Appeal Communication to Group (Appeals and Interferences) Appeal Communication to Group (Appeals Address Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): RESPONSE - ELECTION RESPONSE - ELECTION					
	Missing Parts R 1.52 or 1.53	ENTE 200					
7) (2)							
Firm or Individual name Signature Date	BakerBotts LLP 30 Rockefeller PI New York, NY 10	laza					
CERTIFICATE OF MAILING							

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: May 9, 2003

Typed or printed name
Signature

James J. Maune

Date May 9, 2003

WIN BAKER BOTTS LLE

FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)0

Complete if Known					
Application Number	10/089,479				
Filing Date	March28, 2002				
First Named Inventor	Bong-Cheol Kim				
Examiner Name	Nhu, David				
Art Unit	2812				
Attorney Docket No.	A35109 PCT USA				

Check	METH	OD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
Deposit Account: Deposit Acco	Check		one 3	a 3. ADDITIONAL FEES			. FEE	S	
Daposit	Donosit A	=	녵	arge E			Entity	•	
Account Number Deposit Account Baker Botts LLP 1051 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1052 50 1053 130 1053 130 1052 50 1053 130 1052 50 1053 130 1053 1	l = · .							Fee Description	Foo Paid
Deposit Account Baker Botts LLP 1052 50 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052 25 2052	Account	02-4377						Surcharge - late filing fee or oath	
The Commissioner is authorized to: (check all that apply)	Deposit Account	Baker Botts LLP	'	1052	50	2052	25		
Charge fee(s) indicated below		oner is authorized to: (check all that apply)	' ·	1053	130			• '	
Charge any additional fee required under 37CFR 1.16 and 1.17 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		—	nts	1812	2,520	1812	,	* ,	
Total Claims	i= ' '	· · · · · · · · · · · · · · · · · · ·	7	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Teal color Tea	Charge fee(s) indicated below, except for the filing fee			1805	1,840*	1805	1,840*	Requesting publication of SIR after	
1. BASIC FILING FEE Large Entity Small Entity			—] ·	1251	110	2251	55	Extension for reply within first month	
Large Entity Small Entity Fee	1 BASIC FI			1252	410	2252	205	Extension for reply within second month	\vdash
1255 1,970 201 375 201 375 201 375 202 202 375 202 202 375 202 2		mall Entity		1253	930	2253	465	Extension for reply within third month	
1001 750 2001 375		<u> </u>	id 1	1254	1,450	2254	725	Extension for reply within fourth month	
1003 520 2003 260 Plant filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1451 1,510 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1451 1,510 2452 55 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1503 630		• •	٦l ¹	1255	1,970	2255	985	Extension for reply within fifth month	
1004 750 2004 375 Reissue filing fee 1403 280 2403 140 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 1,300	1002 330	2002 165 Design filing fee	┥╽╴	1401	320	2401	160	Notice of Appeal	
1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$) 0 1451 1,510 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2453 650 Petition to revive - unintentional 1453 1,300 2501 650 Utility issue fee (or reissue) 1502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1503 2503	1003 520	2003 260 Plant filing fee	⊣۱₁	1402	320	2402	160	Filing a brief in support of an appeal	
SUBTOTAL (1) (\$) 0 1452 110 2452 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid Independent Claims	1004 750	2004 375 Reissue filing fee		1403	280	2403	140	Request for oral hearing	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1005 160	2005 80 Provisional filing fee	╗┟╸	1451	1,510	1451	1,510	Petition to institute a public use proceeding	<u> </u>
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1503 630 1460 130 Petitions to the Commissioner 1460 130 Petitions to the Com	SUBTOTAL (1) (\$) 0		\Box [1	1452	110	2452	55	Petition to revive - unavoidable	
Total Claims Independent Claims Multiple Dependent Small Entity Fee Fee Code (\$) Cod				1453	1,300	2453	650	Petition to revive - unintentional	
Total Claims	Z. EXIKA C	Fee from _						· · · · · · · · · · · · · · · · · · ·	
Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Code (\$) 1202	Total Claims		$\overline{}$					•	
Multiple Dependent Large Entity Small Entity Fee Fee Code (\$) Fee Description 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 1806 1806 1806	Independent		<u></u>						
Large Entity Small Entity Fee Fee Code (\$) Fee Description 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 8021 40 Recording each patent assignment per property (times number of properties) 1806 1806 1806			==						
Fee Fee Code (\$) Fee Fee Code (\$) Page 1202 18 1202 18 1201 18 1202 18 1203 280 1203 140 Multiple dependent claims in excess of 3 1204 84 1204 84 1204 84 1205 18 1205	Large Entity I	Small Entity			- 1			• "	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims in ot paid 1204 84 2204 42 **Reissue independent claims over original patent 1205 18 2205 9 **Reissue claims in excess of 20 1802 900 Request for expedited examination 1208 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1209 375 For each additional invention to be examined (37 CFR 1.129(b)) 1201 375 For each additional invention to be examined (37 CFR 1.129(b)) 1202 18 2205 9 **Reissue claims in excess of 20 1802 900 Request for expedited examination	Fee Fee	Fee Fee Fee Description							—
1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims, if not paid 1204 84 2204 42 **Reissue independent claims over original patent 2205 18 2205 9 **Reissue claims in excess of 20 1802 900 Request for expedited examination 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1801 750 2801 375 For each additional invention to be examined (37 CFR 1.129(b)) 1802 900 1802 900 Request for expedited examination			1	B021	40	802	1 40	property (times number of properties)	
1204 84 2204 42 ** Reissue independent claims over original patent	l i	2.002		1809	750	2809	375		
1204 84 2204 42 Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE) 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 Request for expedited examination	1203 280	2203 140 Multiple dependent claim, if not p	aid i	1810	750	2810	375		
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination	1204 84			1801	750	2801	375	` '"	
	1205 18	2205 9 ** Reissue claims in excess of 20						Request for expedited examination	
Other fee (specify)			$\neg 1$	Other	fee (sp	ecify) _			
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0	**or number		ॗ ॗऻऻ	*Redu	œd by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)0	

SUBMITTED BY	1	(Complete (if applicable)					
Name (Print/Type)	James J. Maune	Registration No. (Altorney/Agent) 26,946 Telephone 212-408-256					
Signature	1h ll		Date	May 9, 2003			

